# Defect Aggregation in Anion-Excess Fluorites

# II. Clusters Containing More than Two Impurity Atoms

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A theoretical study has been made of defect clustering in fluorite crystals. The emphasis is on the aggregation processes that can occur beyond the dimer stage which was discussed previously (J. Corish, C. R. A. Catlow, P. W. M. Jacobs, and S. H. Ong, *Phys. Rev. B* **25**, 6425 (1982)). The systems studied comprise CaF<sub>2</sub>, SrF<sub>2</sub>, and BaF<sub>2</sub> doped with  $MF_3$  where M = Y, Lu, Yb, Er, Tb, Gd, Eu, or La, and also SrCl<sub>2</sub> doped with GdCl<sub>3</sub>, LaCl<sub>3</sub>, or PrCl<sub>3</sub>. Two tetramers, one containing a single anion vacancy and two (111) relaxed F<sup>-</sup> ions and the other with a planar arrangement of the dopant ions, are stable for certain systems while a trimer and hexamers, with an octahedral arrangement of substitutional  $M^{3+}$ , also show considerable stability. A notable feature is the increase in the relative stability of larger complexes as the ratio of the radius of the dopant ion to that of the host lattice cation is decreased. The results are discussed in relation to recent EXAFS and neutron scattering work on these systems and should provide a useful guide in future experimental studies.

## **1. Introduction**

The fluorite structure consists of a simple cubic array of fluoride ions with every alternate cube occupied by a divalent cation Ca, Sr, Ba, or Pb. It is this particular feature, namely, the existence of vacant cubic sites equal in number to the occupied cation sites, that enables crystals with the fluorite structure to accommodate a large number of anion interstitials. Thus the dominant intrinsic defects at high temperatures are anion Frenkel defects, while crystals with the fluorite structure have the property of forming a wide range of solid solutions with tri-

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valent fluorides, MF<sub>3</sub>. At low concentrations the  $M^{3+}$  ions substitute for the host-lattice divalent cations and charge compensation is effected by the inclusion of an equal number of anion interstitials. As the concentration of  $MF_3$  is increased, these defects begin to cluster. The firstformed clusters are those which consist of a single  $M_s^{3+}$  ion and a single charge-compensating interstitial  $F^-$  in either the nearest neighbor (nn) or next-nearest neighbor (nnn) position, thus reducing the symmetry at the  $M_s^{3+}$  site from  $O_h$  to  $C_{4v}$  or  $C_{3v}$ , respectively. The next cluster to form is the dimer consisting of two  $M_s^{3+}$  and two  $F_i^-$ , either all on nn or all on nnn sites. This cluster is a particularly stable one and has the remarkable property of being able to trap a further interstitial  $F^-$  ion even if this has to be obtained by the dissociation of a monomer cluster or, in some substances, by migration from another dimer.

The stability of monomer and dimer clusters, and the reactions by which they form, have been discussed rather fully in a previous paper (1). In this paper we turn our attention to defect clusters containing more than two impurity atoms. The systems investigated are broadly the same as in Ref. (1), namely, CaF<sub>2</sub>, SrF<sub>2</sub>, and BaF<sub>2</sub> containing  $Y^{3+}$  and the trivalent rare-earth ions  $Lu^{3+}$ ,  $Yb^{3+}$ ,  $Er^{3+}$ ,  $Tb^{3+}$ ,  $Gd^{3+}$ ,  $Eu^{3+}$ , and La<sup>3+</sup>; though complete results are not always available for every system, they are sufficient to establish trends in stability. Apart from the neutron diffraction and EXAFS studies to be discussed later in this paper, there is experimental evidence for the existence of clusters containing more than two impurity atoms. Andeen *et al.* (2)have associated their  $R_{\rm III}$  relaxation, which dominates the plot of  $\varepsilon''$  vs T for small rare earths, with either a higher-order complex (trimer) or a superstructure, and Seelbinder and Wright (3) have observed three-body energy transfer, following laser excitation, which demonstrates that there are clusters in CaF<sub>2</sub> which contain at least three lanthanide ions. In addition we have also considered SrCl<sub>2</sub> doped with Gd<sup>3+</sup>, Pr<sup>3+</sup>, and La<sup>3+</sup>. Our present technique for calculating defect energies involves calculating the energy of a crystal that contains a single cluster. It is therefore not appropriate for the study of the onset of superstructure phases, like those that have been studied extensively by Greiss (4–7) and also by Fedorov *et al.* (8, 9) and Tkachenko *et al.* (10). We reserve the theoretical study of these superstructure phases to a subsequent paper.

#### 2. Method of Calculation

We use the now-standard computer simulation procedure as programmed in the HADES code (11, 12). The technique is based on the familiar Mott-Littleton approach in which the simulated crystal consists of two regions, an inner region containing the defect in which the ions are specifically relaxed and an outer region which is treated as a dielectric continuum. The inner regions were carefully chosen to include complete symmetry classes and

TABLE I Interionic Potentials for the SrCl<sub>2</sub> Systems

(-) TTh - C		
(a) The form is $\phi(r) =$	m of the short rang = A exp(-r/ρ)	ge potentials
Interaction	<i>A</i> (eV)	ρ (Å)
Sr <sup>2+</sup> -Cl <sup>-</sup>	2250.3	0.3397
ClCl-	1227.18	0.3214
Cl <sup>-</sup> -Gd <sup>3+</sup>	1830.18	0.3005
Cl <sup>-</sup> -La <sup>3+</sup>	2624.46	0.3384
ClPr <sup>3+</sup>	2580.50	0.3394
(b) Shell cons	stants for ions of th	ne host crystal
	Y( e )	$k (eV Å^{-2})$
Sr <sup>2+</sup>	5.0378	111.96
Cl-	-2.519	35.985

Note. The impurity ions were given the same shell constants as the  $Sr^{2+}$  ion.

TABLE II Notation for Defects and Defect Clusters in Fluorite Crystals

Description	Notation			
Isolated vacancy	0 1 0 0			
Free interstitial	0001			
Substitutional impurity	1000			
nn monomer	1001			
nnn monomer	10012			
Dimer	2022			
Trimer	3013			
Tetramers	41241; 40841			
Ionized hexamers	6 0 8 4 <sub>1</sub> ; 6 0 8 5 <sub>1</sub>			
Neutral hexamers	6 0 8 6 <sub>1</sub> ª			

Note. The trimer, tetramers, and hexamers are illustrated in Figs. 1-7.

<sup>a</sup> These are of two types, depending on whether two of the relaxed lattice ions are aligned along (100) or (111) directions. This will be indicated by appending the symbol showing the orientation of the relaxed ions.

generally contained at least 125 ions. In certain calculations the size of the inner region was much larger and contained up to 400 ions. The minimization step which establishes the equilibrium positions of the ions in both regions therefore required a very large amount of computer core. Our calculations are therefore confined to the harmonic approximation but it is not expected that this limitation would affect our general conclusions as to the stability of the various defect clusters. The interionic potentials for  $CaF_2$ ,  $SrF_2$ , and  $BaF_2$  were the same as those employed previously (1). Those for SrCl<sub>2</sub> are given in Table I and are based on those derived by Bendall (13).

#### 3. Results and Discussion

The notation used to describe the defect clusters is an extension of that introduced in Ref. (1). A cluster is described by the symbol  $i|v|p|q_rs_t \dots$ , where *i* denotes the number of trivalent substitutional impurity ions, *v* the number of vacancies, *p* the num-

ber of relaxed lattice ions, q the number of interstitials in nn sites (r = 1), and s the number of interstitials in nnn sites (t = 2). We should emphasize here that this notation distinguishes between a vacancy and a vacant site which results from relaxation of that lattice ion. Thus in accordance with the convention used in Ref. (1), relaxed lattice ions are not considered as separate vacancies and interstitials but rather as vacancyinterstitial pairs. Previously, their number was not noted explicitly; but it is clear that this is somewhat disadvantageous when describing larger clusters as the former notation does not then describe adequately the structure of the cluster. A summary of the defects and defect clusters considered is given in Table II. The monomers and dimers were illustrated in Ref. (1); the trimer, two tetramers, and four hexamers are shown in Figs. 1-7. The origin of the coordinate system is taken at an interstitial site and the lattice parameter is the shortest anion-anion distance.

A particular calculation yields the energy of the (relaxed) defect configuration under consideration. What is of primary interest, however, is the energy of this configuration



FIG. 1. The  $3|0|1|3_1$  trimer containing three  $M_3^{3+}$  impurity ions, three charge-compensating anion interstitials, and one relaxed lattice ion in a  $\langle \overline{111} \rangle$  direction. The directions of the axes used in the calculations and for all the figures are also shown.



FIG. 2. The  $4|1|2|4_1$  tetramer containing four  $M_s^{3+}$  impurity ions, four charge-compensating anion interstitials, and two relaxed lattice ions in (111) directions that will enhance Coulomb interactions with  $M_s^{3+}$  ions. The cluster is stabilized by the presence of a central anion vacancy. (This is the same cluster as the one formerly termed 3:4:2.)

relative to that of other possible clusters containing the same number of primary defects, substitutional impurity ions, vacancies, and interstitials. The results given in the tables are therefore the energies associated with the formation of the defect cluster concerned by the reaction given in Table III. The usefulness of the notation em-



FIG. 3. The  $4|0|8|4_1$  tetramer containing  $4 M_3^{s+}$  impurity ions at  $(\pm 1, 0, 0)$ , 8 anion vacancies situated at  $(\pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2})$  and 12 interstitials, initially at  $(\pm 1, \pm 1, 0)$ . Only one of these interstitials is shown for reasons of clarity. The interstitials relax considerably toward the two nearest impurity ions (or one impurity and one host cation) in order to increase Coulombic interactions and stabilize the cluster.

ployed is at once apparent since the numbers of primary defects must balance in any reaction. The necessity for distinguishing vacancy-interstitial pairs that are relaxed lattice ions is also apparent since these conserve mass and structure internally and do not need to balance on each side of the guasichemical equations in Table III. All the energies given in Tables IV-IX are normalized to one  $M_s^{3+}$ . Thus, for example, the first entry in the third row of Table IV tells us that the nn dimer is more stable than two separated nn monomers in  $CaF_2$ : Y<sup>3+</sup> by 0.298 eV per  $Y^{3+}$ . The reason for quoting the energies of formation of monomers and dimers (from monomers) when this information is already available in Ref. (1) is that the tables provide a complete picture of the association of  $M_s^{3+}$  and interstitials to form clusters in the systems under consideration. It is possible then to see at a glance which clusters are likely to be present in each mixed-crystal system. Some cautionary notes must, however, be stated. It must be emphasized that the energy changes calculated are constant-volume energies and not Helmholtz' or Gibbs' energies so that any predictions of stability of the clusters will be affected by the corresponding en-

#### TABLE III

CLUSTER KEACTIONS LEADING TO THE FORMATION OF DEFECT CLUSTERS IN FLUORITE CRYSTALS									
(1)	1 0 0 0 + (	) 0 0	) 1 =	= 1 0	0 1,				
(2)	$2(1 0 0 1_1)$	=	20	22,	-1-1				
(3)	$2(2 0 2 2_1)$	=	41	24	+	0 0 0 1			
(4)	$2(2 0 2 2_1)$	=	40	84.		-1-1-1-			
Ġ.	3(2022)	=	60	84.	+	2(0 0 0 1)			
6	3(2 0 2 2.)	=	60	815.	+				
(7)	3(2 0 2 2)	_	60	86.		/100)			
(v) (8)	3(2 0 2 2)	_	610	10 0]  2 K		(100)			
(9)	$2 0 2 2_1 +$	1 0	0 11	= 3	0 1 :	$\mathbf{B}_1$			

*Note*. The notation used is explained in the text and the defect clusters are described briefly in Table II.

Reaction: Cluster:	1 1 0 0 1 <sub>1</sub>	2 2 0 2 2 <sub>1</sub>	3 4 1 2 4 <sub>1</sub>	4 4 0 8 4 <sub>1</sub>	5 6 0 8 4 <sub>1</sub>	6 6 0 8 51	7 6 0 8 6 <sub>1</sub> 〈100〉	8 6 0 8 6 <sub>1</sub> 〈111〉
Y <sup>3+</sup>	-0.502	-0.298	-0.171	-0.628	-0.292	-0.696	-0.359	-0.691
Lu <sup>3+</sup>	-0.710	-0.173	-0.248		+0.242	-0.284	-0.073	-0.438
Yb <sup>3+</sup>	-0.706	-0.212	-0.264	-0.124	+0.206	-0.319	-0.076	-0.437
Er <sup>3+</sup>	-0.730	-0.176	-0.258		+0.279	-0.258	-0.036	-0.431
Tb <sup>3+</sup>	-0.708	-0.159	-0.240	-0.083	+0.227	-0.265	-0.045	-0.414
Gd <sup>3+</sup>	-0.713	-0.164	-0.244	-0.081	+0.261	-0.267	-0.046	-0.420
Eu <sup>3+</sup>	-0.765	-0.154	-0.260		+0.378	-0.179	+0.021	-0.373
La <sup>3+</sup>	-0.799	-0.210	-0.184	+0.195	+0.547	-0.194	+0.152	-0.242

TABLE IV Energy Changes<sup>4</sup> on Forming Defect Clusters in CaF<sub>2</sub> by the Reaction Shown

<sup>*a*</sup> In eV per one  $M_s^{3+}$  ion.

TABLE V Energy Changes<sup>a</sup> on Forming Defect Clusters in  $SrF_2$  by the Reaction Shown

Reaction: Cluster:	1 1 0 0 1 <sub>1</sub>	2 2 0 2 21	3 4 1 2 4 <sub>1</sub>	4 4 0 8 4 <sub>1</sub>	5 6 0 8 4 <sub>1</sub>	6 6 0 8 5 <sub>1</sub>	7 6 0 8 6 <sub>1</sub> 〈100〉	8 6 0 8 6 <sub>1</sub> 〈111〉
Y <sup>3+</sup>	-0.401	-0.200	+0.004	-0.672	-0.341	-0.586	-0.395	-0.567
Lu <sup>3+</sup>	-0.524	-0.126	-0.101		-0.048	-0.388	-0.247	-0.497
Yb <sup>3+</sup>	-0.509	-0.159	-0.101	-0.331	-0.027	-0.412	-0.248	-0.528
Er <sup>3+</sup>	-0.535	-0.131	-0.112		-0.029	-0.376	-0.232	-0.498
Tb <sup>3+</sup>	-0.527	-0.114	-0.102	-0.290	-0.034	-0.378	-0.244	-0.482
Gd <sup>3+</sup>	-0.529	-0.119	-0.104	-0.291	-0.035	-0.378	-0.243	-0.486
Eu <sup>3+</sup>	-0.563	-0.114	-0.131		+0.030	-0.333	-0.204	-0.474
La <sup>3+</sup>	-0.592	-0.099	-0.145	-0.150	+0.089	-0.293	-0.176	-0.450

<sup>*a*</sup> In eV per one  $M_s^{3+}$  ion.

TABLE VI

#### Energy Changes" on Forming Defect Clusters in $BaF_2$ by the Reaction Shown

Reaction: Cluster:	1 1 0 0 1 <sub>1</sub>	2 2 0 2 21	3 4 1 2 4 <sub>1</sub>	4 4 0 8 4 <sub>1</sub>	5 6 0 8 4 <sub>1</sub>	6 6 0 8 51	7 6 0 8 6₁ ∢100≽	8 6 0 8 6 <sub>1</sub> (111)
Y <sup>3+</sup>	-0.376	ED						
Lu <sup>3+</sup>	-0.369	-0.239	+0.092		-0.040	-0.184	PPC	-0.199
Yb <sup>3+</sup>	-0.344	-0.236	+0.082	-0.241	-0.093	-0.228	ED	-0.240
Er <sup>3+</sup>	-0.372	-0.228	+0.076		-0.049	-0.196	ED	-0.221
Tb <sup>3+</sup>	-0.380	-0.242	+0.086	-0.154	-0.015	-0.163	ED	-0.176
Gd <sup>3+</sup>	-0.379	-0.239	+0.084	-0.164	-0.024	-0.158	ED	-0.189
Eu <sup>3+</sup>	-0.397	-0.211	+0.072		-0.017	-0.179	PPC	-0.224
La <sup>3+</sup>	-0.423	-0.141	-0.005	-0.154	-0.040	-0.215	ED	-0.278

Note. The symbols ED (excessive displacement of lattice ions) and PPC (persistent positive curvature of the energy surface) indicate that a stable configuration could not be located. <sup>*a*</sup> In eV per one  $M_s^{3+}$  ion.



FIG. 4. The  $6|0|8|4_1$  hexamer. This is derived from the  $4|0|8|4_1$  cluster by adding two more substitutional impurity ions at  $(0, 0, \pm 1)$  and consequently has a net charge of +2.

tropy changes. There is very little information available on these entropy changes except for the formation of monomers from isolated  $M_s^{3+}$  and interstitials, where  $\Delta s$  is normally negative and of the order of 1-3k. If the vibrational entropy changes per  $M_s^{3+}$ ion continue to be of that order then any conclusions as to stability of defect clusters that are based on energy changes of several tenths of an electron volt will not be affected. Another point to be borne in mind is that the actual cluster concentrations will depend not only on the equilibrium constants but on the concentrations (strictly, activities) of the reactants and hence pri-



FIG. 5. In the  $6|0|8|5_1$  hexamer, one of the excess positive charges of the  $6|0|8|4_1$  cluster is neutralized by an additional interstitial at the origin.



FIG. 6. In the first of the  $6|0|8|6_1$  hexamers there are two additional interstitials aligned along (100).

marily on the concentration of  $MF_3$  present in the crystal. Thus, at low concentrations one will expect the small clusters to predominate, while at higher concentrations there will be a greater tendency to form the stable large clusters. With these points in mind we proceed to analyze the results in Tables IV-IX.

Table IV shows the results for CaF<sub>2</sub>. The energies of reactions 1–3 emphasize the stabilities of monomer, dimer, and the tetramer stabilized by the central vacancy (Fig. 2). Generally, the most stable hexamers to be expected in CaF<sub>2</sub> are the  $6|0|8|5_1$ cluster (Fig. 5) and the  $6|0|8|6_1$  cluster where two of the relaxed lattice ions form a  $\langle 111 \rangle$  oriented "dumbell" about the center of the cluster (Fig. 7). However, Y<sup>3+</sup> clearly has a tremendous ability to form clusters of almost every kind—a feature which may be



FIG. 7. In the second type of  $6|0|8|6_1$  hexamer the two extra interstitials are aligned along  $\langle 111 \rangle$ .

Reaction: Cluster:	1' 1 0 0 1 <sub>2</sub>	2' 2 0 2 2 <sub>2</sub>	3' 4 1 2 4 <sub>1</sub>	4′ 4 0 8 4ı	5′ 6 0 8 41	6' 6 0 8 5 <sub>1</sub>	8′ 6 0 8 6 <sub>1</sub> 〈111〉
Y <sup>3+</sup>	-0.626	-0.231	ED	-0.134	+0.102	+0.015	+0.135
Lu <sup>3+</sup>	-0.567	-0.210	+0.511		+0.129	-0.015	-0.030
Er <sup>3+</sup>	-0.565	-0.210	+0.252		+0.127	-0.021	-0.046
Gd <sup>3+</sup>	-0.568	-0.207	+0.215	-0.031	+0.133	-0.040	-0.035
Eu <sup>3+</sup>	-0.555	-0.204	+0.214		+0.134	-0.028	-0.073
La <sup>3+</sup>	-0.546	-0.197	+0.175	-0.099	+0.139	-0.036	-0.099

TABLE VII Energy Changes<sup>a</sup> on Forming Defect Clusters in BaF

Note. The primed reactions are the same as the unprimed ones in Table 3, except that the monomers and dimers contain interstitials that occupy sites that are second neighbors to the impurity cations.

<sup>*a*</sup> In eV per one  $M_s^{3+}$  ion.

related to its small ionic radius which allows extensive relaxation of the dopant ion.

Results for  $SrF_2$  are given in Table V. Again the larger  $Y^{3+}$  clusters investigated are especially stable. In all the tables  $Y^{3+}$ (which has no f electrons) occupies first place in the list of substituents and thereafter the rare-earth ions follow in order of increasing ionic radius R. Whereas the overall trend in the stability of the rareearth dimers is to show a decrease with increasing R, the stability of  $4|1|2|4_1$  clusters increases with increasing R, though the effect is not large. In contrast the stability of  $4|0|8|4_1$  clusters decreases with increasing R as shown by the decrease in their formation energy from dimers of more than 50% from  $Yb^{3+}$  to  $La^{3+}$ . In  $SrF_2$  (except for  $Y^{3+}$ ) the hexamer with two excess positive charges and two compensating free interstitials (see reaction 5) has about the same stability as three separate dimers. However, the addition of a central interstitial during clustering (reaction 6) or of two (100) or (111) interstitials (reactions 7 and 8) stabilizes the hexamer cluster. Thus in SrF<sub>2</sub> we may expect to see nn (and also nnn (1)) monomers, dimers, tetramers, and a variety of hexamers, except the doubly charged  $|6|0|8|4_1$ .

In BaF<sub>2</sub> the nn  $Y^{3+}$  dimer  $2|0|2|2_1$  displayed an excessive displacement of lattice

ions during minimization, indicative of some instability in the system. For the rareearth ions the monomers, dimers, and  $4|0|8|4_1$  tetramers are all stable. As in SrF<sub>2</sub>, the doubly positive charged  $6|0|8|4_1$ , plus two charge-compensating free interstitials, have about the same stability as three dimers. The  $6|0|8|6_1$  hexamer with two  $\langle 100 \rangle$ interstitials is clearly an unstable configuration in BaF<sub>2</sub> since in two cases no minimum could be found and in the remaining five cases excessive displacement of lattice ions occurred. The hexamers with one central interstitial, or two interstitials aligned along  $\langle 111 \rangle$ , are both stable with respect to three nn dimers. In BaF<sub>2</sub> the nnn monomers and dimers are more stable than their nn counterparts. Therefore it is necessary to see if the nnn dimer is the terminal cluster in the aggregation process in BaF<sub>2</sub>. Table VII shows the stability of the higher clusters with respect to the  $2|0|2|2_2$  nnn dimer and it is clear that the tetramers and hexamers are unlikely to form extensively from nnn dimers. This is not to say that they may not be found to exist in  $BaF_2$  for the energy changes for reactions 4', 6' and 8', though small, are mostly slightly negative. Thus the higher clusters will exist in equilibrium with the nnn monomers and dimers.

Table VIII shows corresponding results

Cluster:	1 0 0 1 <sub>1</sub>	2 0 2 21	4 1 2 41	<b>4 0 8 4</b> 1	6 0 8 4 <sub>1</sub>	6 0 8 51	6 0 8 6 <sub>1</sub> (100)	6 0 8 6 <sub>1</sub> 〈111〉
Reaction:	1	2	3	4	5	6	7	8
Gd <sup>3+</sup>	(-0.924)	-1.573	ED	-0.150	+0.980	+1.400	-0.954	ED
Pr <sup>3+</sup>	-0.327	-0.273	+0.011	-0.391	-0.123	-0.378	-0.257	-0.484
La <sup>3+</sup>	-0.328	-0.273	+0.012	-0.390	-0.123	-0.378	-0.256	-0.484
Reaction:	1′	2′	3'	4′	5'	6′	7'	8'
Gd <sup>3+</sup>	-3.410	+1.569	ED	-0.085	+1.108	+1.529	-0.826	ED
Pr <sup>3+</sup>	-0.439	-0.142	-0.009	-0.410	-0.142	-0.397	-0.276	-0.503
La <sup>3+</sup>	-0.438	-0.142	-0.176	-0.410	-0.143	-0.398	-0.276	-0.505

TABLE VIII
Energy Changes <sup>a</sup> on Forming Defect Clusters in StCl <sub>2</sub> from nn and nnn Monomers and Dimers b
THE REACTION SHOWN

<sup>*a*</sup> In eV per one  $M_s^{3+}$  ion.

for three dopants in  $SrCl_2$ . For  $La^{3+}$  and  $Pr^{3+}$  all the clusters examined are stable. The behavior of  $Gd^{3+}$  is exceptional and attempts to model this system proved to be more difficult than expected. The nn monomer and dimer and the nnn dimer are, in general, exceptionally stable and there is little tendency to form higher complexes except the  $\langle 100 \rangle$  hexamer. The ion movements in  $SrCl_2:Gd^{3+}$  are exceptionally large (Tables IX and X) due to the large differences in the ion sizes of  $Gd^{3+}$  and

#### TABLE IX

Energy Changes<sup>a</sup> on Forming Trimer Clusters in CaF<sub>2</sub>, SiF<sub>2</sub>, and BaF<sub>2</sub> from a Monomer and a Dimer, According to Reaction 9

M <sup>3+</sup>	CaF <sub>2</sub>	SrF <sub>2</sub>	BaF <sub>2</sub>
Y <sup>3+</sup>	-0.545	-0.292	ь
Yb <sup>3+</sup>	-0.583	-0.402	-0.202
Tb³+	-0.509	-0.333	-0.193
Gd <sup>3+</sup>	-0.518	-0.374	-0.197
La <sup>3+</sup>	-0.479	-0.405	-0.272

<sup>*a*</sup> In eV per one  $M_s^{3+}$  ion.

<sup>b</sup> The energy of formation of the dimer could not be found for  $BaF_2$ :  $Y^{3+}$ .

TABLE X ION POSITIONS IN THE MINIMUM ENERGY CONFIGURATION OF THE 6|0|8|51 CLUSTER (Fig. 5)

		x
System	6 impurity ions relaxed from (1.0, 0, 0) to (x, 0, 0)	12 F <sup>-</sup> interstitials relaxed from (0.8, 0.8, 0) to (x, y = x, 0)
 C₂E→V <sup>3+</sup>	1.042	0.730
$Car_2$ , r $Car_2$ , $Vh^{3+}$	1.042	0.750
$Car_2$ . Tb $Car_2$ . Tb <sup>3+</sup>	1.005	0.755
$Car_2$ . 10 $CaF \cdot Cd^{3+}$	1.000	0.759
$Car_2 \cdot Ua^{3+}$	1.006	0.739
$Car_2$ . La SrE $V^{3+}$	0.000	0.709
$SrE \cdot Vh^{3+}$	1.024	0.705
SrF. • Th <sup>3+</sup>	1.024	0.720
SrF. : Gd <sup>3+</sup>	1.020	0.731
ST2.00 SrF · L 3 <sup>3+</sup>	1.027	0.731
$B_{2}F_{2} \cdot V^{3+}$	0.964	0.740
$\mathbf{B}_{2}\mathbf{F}_{2}$ , $\mathbf{I}$ $\mathbf{B}_{2}\mathbf{F}_{2}$ , $\mathbf{V}\mathbf{h}^{3+}$	0.904	0.042
$\mathbf{D}_{a}\mathbf{\Gamma}_{2}$ . 10 $\mathbf{D}_{a}\mathbf{E}$ . $\mathbf{T}\mathbf{b}^{3+}$	1.000	0.674
$BaF_2 \cdot Gd^{3+}$	1.000	0.677
$BaE + La^{3+}$	1.000	0.077
$S_{1}C_{1} \cdot C_{1}^{3+}$	1.009	0.000
$SrCl_2 \cdot Dr^{3+}$	1.296	0.770
SrCl. · I a <sup>3+</sup>	1.020	0.737
SICI2. La	1.020	0.739

Note. The central  $\mathbf{F}^-$  interstitial at the origin is fixed by symmetry.

 $Sr^{2+}$ . The available experimental binding energies of  $Gd^{3+}$  with an interstitial chloride ion are large (14) and similar large dopantdefect binding energies have been found in other systems where there is a large difference between the radii of the dopant and lattice ion; a good example is provided by  $CeO_2: Sc^{3+}$  (15).

The energies of formation of trimer clusters (Fig. 1) in  $CaF_2$ ,  $SrF_2$ , and  $BaF_2$  from a monomer and a dimer (reaction 9 of Table III) are given in Table IX. These results show that the trimer is very stable in  $CaF_2$ , less so in  $SrF_2$  and less so again in  $BaF_2$ . This dependence of cluster stability on lattice constant occurs because a small lattice constant increases the Coulomb interactions between impurity ions and interstitials and thus enhances the stability of the smaller clusters (cf. the monomer stabilities in Tables IV-VI). Because the trimer is more stable than the tetramer in  $CaF_2$  (except for the anomalous case of  $Y^{3+}$  already referred to) it is interesting to speculate that in  $CaF_2$  the formation of hexamers might involve the aggregation of two trimers, accompanied by the relaxation of six lattice  $F^-$  ions, rather than the dimer  $\rightarrow$  tetramer  $\rightarrow$  hexamer route. However, for  $SrF_2$  and  $BaF_2$  both intermediates, trimer and tetramer, have about the same stability.

The HADES program provides detailed information on the position of every ion in the calculation. It is obviously impossible to reproduce all of this information here but the positions of the ions in the cluster in the lowest energy configurations are shown in Tables X and XI for the two most stable hexamers,  $6|0|8|5_1$  (Fig. 4) and  $6|0|8|6_1$  (111) (Fig. 7). The impurity ions were found to remain within 8% of their initial positions at (1.0, 0, 0) with the exception of Gd<sup>3+</sup> in

	6 impur relaxe (1.0, 0 (x, y,	6 impurity ions relaxed from (1.0, 0, 0) to (x, y, z = y)		terstitials ed from (1.8, 0) to x = x, z)	$6 F^{-}$ interstitials from (-0.8, 0.8, 0)	2 F <sup>-</sup> interstitials relaxed from lattice sites at (0.5, 0.5, 0.5) to (x, y, z, z, z, z)	
System	x	y	x	z	$\frac{10}{x} (x, y - x, 0)$	(x, y - x, z - x)	
CaF <sub>2</sub> : Y <sup>3+</sup>	1.019	0.023	0.822	-0.011	-0.714	0.171	
$CaF_2$ : Yb <sup>3+</sup>	1.079	0.022	0.826	-0.195	-0.713	0.244	
CaF <sub>2</sub> : Tb <sup>3+</sup>	1.045	0.023	0.849	-0.015	-0.743	0.184	
$CaF_2: Gd^{3+}$	1.047	0.023	0.849	-0.014	-0.742	0.184	
$CaF_2$ : La <sup>3+</sup>	1.055	0.024	0.857	-0.014	-0.752	0.188	
SrF <sub>2</sub> : Y <sup>3+</sup>	0.972	0.028	0.786	-0.002	-0.680	0.168	
$SrF_2$ : Yb <sup>3+</sup>	1.002	0.027	0.811	-0.007	-0.703	0.187	
$SrF_2$ : Tb <sup>3+</sup>	1.008	0.026	0.817	-0.009	-0.710	0.190	
$SrF_2: Gd^{3+}$	1.008	0.028	0.816	-0.008	-0.709	0.190	
$SrF_2$ : La <sup>3+</sup>	1.017	0.026	0.824	-0.010	-0.719	0.195	
BaF <sub>2</sub> : Y <sup>3+</sup>	0.946	0.008	0.746	-0.103	-0.612	0.211	
$BaF_2$ : Yb <sup>3+</sup>	0.978	0.020	0.771	-0.074	-0.640	0.227	
$BaF_2$ : Tb <sup>3+</sup>	0.985	0.019	0.778	-0.080	-0.646	0.231	
$BaF_2: Gd^{3+}$	0.984	0.019	0.777	-0.080	-0.645	0.230	
$BaF_2: La^{3+}$	0.994	0.020	0.785	-0.079	-0.656	0.235	
SrCl <sub>2</sub> : Gd <sup>3+</sup>	1.268	0.134	0.843	-0.171	-1.065	0.376	
$\operatorname{SrCl}_2$ : $\operatorname{Pr}^{3+}$	0.990	0.028	0.812	-0.008	-0.720	0.163	
SrCl <sub>2</sub> : La <sup>3+</sup>	0.990	0.028	0.812	-0.008	-0.720	0.163	

TABLE XI

Ion Positions in the Minimum Energy Configuration of the  $6|0|8|6_1$  Cluster (Fig. 7)

SrCl<sub>2</sub> which shows a pronounced outward relaxation at almost 30%. The 12 symmetrical interstitials of the  $6085_1$  cluster all move in from their initial placing at (0.8, 0.8, 0). The extent of this movement is related to the ion radius of the dopant and the lattice parameter of the host. The smaller the trivalent ion the further the movement of the F<sup>-</sup> interstitials. This inward movement is also facilitated by an increase in the lattice parameter. The closer the approach of the interstitials to the trivalent ions the greater is the stabilization of the cluster by their Coulomb interaction. The same general principles obtain for the 12 analogous interstitials of the  $6|0|8|6_1$  cluster although they are not now constrained by symmetry to be a single set. The two (111) interstitials move inward from their initial lattice positions at (0.5, 0.5, 0.5).

The most significant overall trend in the results is for increased stability of the large clusters, involving an octahedron of  $M^{3+}$ ions, compared with the smaller dopant dimers and tetramers (the  $2|0|2|2_1$  and  $4|1|2|4_1$ clusters) on increasing the ratio of the lattice parameter to the radius of the dopant ion. Recent experimental evidence from neutron diffraction and EXAFS studies supports this prediction. Single-crystal Bragg diffraction experiments have been carried out on  $CaF_2$ : La<sup>3+</sup> (16, 17) CaF<sub>2</sub>:  $Er^{3+}$  (17, 18), and  $SrCl_2: Pr^{3+}$  (19). In the first system a dopant dimer appears to be present, whereas the results for the  $CaF_2$ :  $Er^{3+}$  and  $SrCl_2$ :  $Pr^{3+}$  systems suggest the presence of the octahedral clusters. We note that the ionic radius of Er<sup>3+</sup> is less than that of  $La^{3+}$ , and that the lattice parameter of  $SrCl_2$  is greater than that of  $CaF_2$ . The EXAFS studies (20, 21) on  $CaF_2$ : La<sup>3+</sup> and  $CaF_2$ :  $Er^{3+}$  are in line with the results of the neutron diffraction measurements. A distinct difference is noted between the EXAFS spectra of the rare earths in the two systems; and while the former spectrum can be explained adequately by cluster models based on dopant dimers and monomers, the latter is compatible with the octahedral cluster models.

#### 4. Conclusions

This continuation of our earlier work (1)shows that fluorite systems containing  $M^{3+}$ are further stabilized when the monomers and dimers aggregate to form larger clusters. In assessing the relative stabilities of different aggregates in a number of systems effects due to host lattice parameter and impurity ion radius are evident: increasing the ratio of the lattice parameter to the radius of the dopant ion increases the stability of the hexamers with an octahedron of  $M^{3+}$ ions. Crystals which contain these clusters would be expected to show little evidence of (111) interstitials and this prediction is in line with neutron diffraction and EXAFS measurements.

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